

VIA HAND DELIVERY
PATENT
36856.1179

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuichi TAKAMINE et al.	Parent Application Serial Number: 09/883,481
Serial No.: Unknown	Parent Application Filing Date: June 18, 2001
Filed: Concurrently herewith	
Title: SURFACE ACOUSTIC WAVE DEVICE	

INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, Mail Stop PATENT APPLICATION
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants herewith submit herewith an Information Disclosure Statement (Form PTO-1449), which lists all the prior art references that were listed in the Parent Application No. 09/883,481, currently pending. Accordingly, a copy of these references can be found in the Parent Application No. 09/883,481, currently pending. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: November 26, 2003



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Substitute for form 1449/PTO

*Complete if Known***INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **1** of **1**

Application Number

Filing Date

First Named Inventor

Yuichi TAKAMINE

Art Unit

Examiner Name

Attorney Docket Number

36856.1179**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	5,874,869	02/1999	Ueda et al.	
	2	5,821,834	10/1998	Xu et al.	
	3	5,790,000	08/1998	Dai et al.	
	4	6,388,545 B1	05/2002	Kawachi et al.	
	5	6,339,365 B1	01/2002	Kawase et al.	
	6	5,847,626	12/1998	Taguchi et al.	
	7	5,994,980	11/1999	Tada	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number-Kind Code ⁵ (if known)				
	8	CN 85101813 A	01/1987	CHINA		
	9	CN 1165431 A	11/1997	CHINA		
		CN 1112749 A	11/1995	CHINA		X
						X
						X
						X
						X

Examiner
SignatureDate
Considered

*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ² See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.